

VIA E-FILE  
Form PTO-1449

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Applicant: Mark Lucovsky et al.

Serial No.: 10/003,767

Attorney Docket No.: 13768.198.6

Filing Date: October 22, 2001

Art Unit: 2132

Confirmation No.: 4885

For: AUTHORIZING A REQUESTING ENTITY  
TO OPERATE UPON DATA STRUCTURES

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Sub Class</u>	<u>Class</u>	<u>Filing Date</u>
_____ A1.	5,872,926	02/16/99	Levac et al.	395	200.360	05/31/96
_____ A2.	6,092,101	07/18/00	Birrell et al.	709	206	06/16/97
_____ A3.	6,167,402,	12/26/00	Yeager	707	10	04/27/98
_____ A4.	6,553,427	04/22/03	Chang et al.	719	314	07/24/98
_____ A5.	6,336,147	01/01/02	Brownell et al.	719	310	11/12/97
_____ A6.	6,336,118	01/01/02	Barton W. Hammond	707	103	12/03/98
_____ A7.	6,148,302	11/14/00	Beylin et al.	707	102	02/26/98
_____ A8.	5,634,129	05/27/97	Robert D. Dickinson	719	315	12/20/95
_____ A9.	5,544,302	08/06/96	Frank T. Nguyen	715	837	06/03/93
_____ A10.	6,088,675	7/11/00	MacKenty et al.	704	270	03/23/99
_____ A11.	6,154,876	11/28/00	Haley et al.	717	4	07/30/97
_____ A12.	6,223,207	04/24/01	Lucovsky	709	107	01/13/98

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U.S. Patent Documents (continued)

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_____ A13.	6,282,548	08/28/01	Burner et al.	707	104	06/21/97
_____ A14.	6,349,302,	02/19/02	Aoyama et al.	707	101	07/07/98
_____ A15.	6,370,537	04/09/02	Gilbert et al.	707	101	12/30/99
_____ A16.	6,195,662	02/27/01	Ellis et al.	707	103	06/26/98
_____ A17.	6,377,952	04/23/02	Inohara et al.	707	101	10/27/98
_____ A18.	6,654,747	11/25/03	Van Huben et al.	707	10	05/27/99
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_____ A20.	6,199,081	03/06/01	Meyerzon et al.	707	513	06/30/98
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U.S. Patent Documents (continued)

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_____ A26.	6,044,224	03/28/00	Radia et al.	395	710	06/26/96
_____ A27.	5,787,427	07/28/98	Benatar et al.	707	9	01/03/96
_____ A28.	5,933,820	08/03/99	Beier et al.	707	1	05/20/96
_____ A29.	6,192,408	02/20/01	Vahalia et al.	709	229	09/26/97
_____ A30.	6,349,307	02/19/02	Chen	707	103	12/28/98
_____ A31.	6,351,744	02/26/02	Landresse	707	8	05/28/99
_____ A32.	6,356,940	03/12/02	Short	709	217	05/26/99
_____ A33.	6,678,682	01/13/04	Jenkins et al.	707	9	11/28/00
_____ A34.	6,189,032	02/13/01	Susaki et al.	709	225	01/29/98
_____ A35.	6,832,237	12/14/04	Christensen et al.	709	200	12/01/00
_____ A36.	6,711,612	03/23/04	Blumenau et al.	709	223	12/18/98
_____ A37.	5,963,976	10/05/99	Ogawa et al.	711	148	04/21/95
_____ A38.	6,343,324	01/29/02	Hubis et al.	709	229	09/13/99

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U.S. Patent Documents (continued)

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_____ A39.	6,907,457	06/14/05	Merrell et al.	709	223	01/25/01
_____ A40.	6,917,373	07/12/05	Vong et al.	715	840	12/28/00
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<u>Examiner Initial*</u>	<u>Publication Number</u>	<u>Pub. Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A45.	2002/0029256	03/07/02	Zintel et al.	709	218	03/16/01
_____ A46.	2002/0133752	09/19/02	Wesley Hand	714	38	03/13/02
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_____ A48.	2001/0047385	11/29/01	Jeffrey Tuatini	370	203	01/02/01

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U.S. Patent Publication Documents (continued)

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_____ A49.	2002/0055951	05/09/02	Shigetomi et al.	707	501.1	03/12/01
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_____ A52.	60/275,809	03/14/01	Lucovsky et al.

Non-Patent Literature

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\_\_\_\_\_ A53. Simple Object Access Protocol (SOAP) 1.1 W3C Note 08 May 2000 Don Box, DevelopMentor; David Ehnebuske, IBM; Gopal Kakivaya, Microsoft Anderw Layman, Microsoft; Noah Mendelsohn, Lotus Development Corp.; Henrik Frystyk Nielsen, Microsoft Satish Thatte, Microsoft; Dave Winer, UserLande Software, Inc. Pages 1-35. (Herein after referred to as Article 1.)

\_\_\_\_\_ A54. Barish, Greg; UNTANGLING THE WEB; Intelligent Enterprise; March 27, 2001; pgs. 38-43. (Herein after referred to as Article 2.)

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- \_\_\_\_\_ A55. Dix, Ghris; WORKING WITH SOAP, THE SIMPLE OBJECT ACCESS  
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referred to as Article 3.)
- \_\_\_\_\_ A56. Jepsen, Tom; SOAP CLEANS UP INTEROPERABILITY PROBLEMS ON THE  
WEB; *IT Pro*; January – February 2001; pgs. 52-55. (Herein after referred to as  
Article 4.)
- \_\_\_\_\_ A57. X MARKS THE PATH; JNJ Online;  
[http://www.dnjonline.com/articles/essentials/iss25\\_essentials\\_xpath.asp](http://www.dnjonline.com/articles/essentials/iss25_essentials_xpath.asp); January  
3, 2002; 6 pgs. (Herein after referred to as Article 5.)
- \_\_\_\_\_ A58. SOAP VERSION 1.2 Part 1: MESSAGING FRAMEWORK;  
<http://www.w3.org/TR/2002/WD-soap12-part1-20011002>; November 30, 2001;  
33 pgs. (Herein after referred to as Article 6.)
- \_\_\_\_\_ A59. SOAP VERSION 1.2 PART 2: ADJUNCTS; <http://www.w3.org/TR/2001/WD-soap12-part2-20011002>; October 30, 2001; 43 pgs. (Herein after referred to as  
Article 7.)
- \_\_\_\_\_ A60. WML PATH LANGUAGE (XPATH) VERSION 1.0;  
<http://www.w3.org/TR/1999/REC-xpath-19991116>; October 30, 2001; 35 pgs.  
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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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